

Amendments to CDP Depository Rules

Legend: Deletions are struck-through and insertions are underlined in blue.

Rule 12 – Violation of CDP Rules and Default under CDP Clearing Rules

12.1 Violation of CDP Rules and Default under CDP Clearing Rules

In the event of any breach of these Rules by a Depository Agent or in the event any Event of Default as set out in the CDP Clearing Rules has occurred in respect of a Depository Agent who is also a Clearing Member, the Depository shall have the right to:

- 12.1.1 reprimand, whether publicly or privately, a Depository Agent;
- 12.1.2 impose any restriction or condition on activities that a Depository Agent may undertake; and/or
- 12.1.3 terminate the Depository Agent.